PTO/SB/21 (09-04)

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**FORM** 

(to be used for all correspondence after initial filing,

Application Number 10/678.680 Filing Date 10/3/03 First Named Inventor Ian A. Cody, et al. Art Unit 1764 **Examiner Name** Walter Griffin Attorney Docket Number JJK-0332

t5 refs Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC 1 Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name ExxonMobil Research and Engineering Company Signature Printed name Gerard J. Hughes Date Reg. No. 30 41.855 NOV

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

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Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





## THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Ian A. Cody, et al. EXAMINER: Walter D. Griffin

99999999 SERIAL NO.: 10/678,680 **GROUP ART UNIT: 1764** 

ATTY. DOCKET NO.: JJK-0332 FILED: 10/3/03

FOR: Process For Preparing Basestocks

Having High VI Using Oxygenated

**Dewaxing Catalyst** 

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with Title 37, Sections 1.56, 1.97 and 1.98 of the Code of Federal Regulations, and pursuant to Applicants' duty of candor and good faith toward the United States Patent and Trademark Office, the Examiner's attention is drawn to the art indicated on the attached PTO-1449 form.

This Supplemental Information Disclosure Statement is being filed under 37 CFR 1.97(c) after the mailing date of a final action but prior to a notice of allowance. The required fee of \$180.00 is transmitted herewith.

Form PTO-1449 is attached. It is respectfully requested that the Examiner consider this document and return an initialed copy of the form to the undersigned attorney.

In accordance with 1276 Official Gazette 55, Aug. 5, 2003, which waives the requirement under 37 CFR 1.98 (a) (2) (i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication, applicants have not submitted copies of these documents. Copies of any cited foreign patent documents and/or non-patent literature are submitted herewith in accordance with 37 CFR 1.98 (a) (2).

This disclosure statement should not be construed as a representation that a search has been made or that no other material information, as defined in 37 CFR § 1.56(a), exists.

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We believe that this disclosure complies with the requirements of 37 CFR § 1.56, 1.97 and 1.98, and the Manual of Patent Examining Procedures § 609. If for any reason the Examiner finds that the disclosure or documents do not comply with these sections, notification is respectfully requested.

If there are any matters or issues outstanding, the Examiner is encouraged to contact the attorney of record at the telephone number listed below.

Respectfully submitted:

Gerard J. Hughes

Attorney for Applicants Registration No. 41,855

Telephone: 225-977-4942 Facsimile: 225-977-1025

Post Office Address (to which correspondence is to be sent): ExxonMobil Research & Engineering Company (formerly Exxon Research & Engineering Company)
P. O. Box 900
Annandale, New Jersey 08801-0900



IN RE APPLICATION	I OF:	§				
Ian A. Cody et al.		§	EXAMINER:	Walter D. Griffin		
SERIAL NUMBER:	10/678,680	9 §	GROUP ART UNIT:	1764		
FILED:	10/3/03	9 § 8	ATTY. DOCKET NO.:	JJK-0332		
FOR: Process For P	VI Using Oxygenated	8 8 8				

Honorable Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## **FEE TRANSMITTAL**

The Commissioner is hereby authorized to charge the fees indicated below in the amount of \$180.00, and any other fees which may be due, to Deposit Account No. 05-1330.

$\boxtimes$	\$180.00	1806 - Information Disclosure Statement
	\$100.00	1811 - Certificate of Correction
	\$130.00	1814 - Statutory Disclaimer
	\$500.00	1401 - Notice of Appeal
	\$500.00	1402 - Appeal Brief
	\$1,000.00	1403 - Request for Oral Hearing
	\$500.00	1452 - Petition to Revive - Unavoidable
	\$1,500.00	1453 - Petition to Revive - Unintentional

Respectfully submitted:

Gerard J. Hughes, Reg. No. 41,855

Attorney for Applicants Telephone: (225) 977-4942

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INFORMATION DISCLOSURE STATEMENT			ATTY. DOCKET NO. SERIAL NO.						
			JJK-0332 10/678,680						
INFOR	MATI	ON DISCLOSUR	E STATEM	ENT	APPLICANT				
			Ian A. Cody, et al.						
(Use several sheets if necessary)				FILING DATE		GROUP			
					October 3, 2003		1764		
		•		U.S. PATE	NT DOCUMENTS				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING IF APPRO	
	AA	4,397,827	8/9/83	Chu		423	326		
	AB	4,440,871	4/3/84	Lok et al.		502	214		
	AC	4,585,747	4/29/86	Valyocsik		502	62		
	AD	4,900,707	2/13/90	Cody et a	1.	502	230		
	AE	5,282,958	2/1/94	Santilli et	al.	208	111		
	AF	6,383,366	5/7/02	Riley et a	1.	208	137		
	AG	6,399,845	6/4/02	Raulo et al.		585	739		
	АН	5,098,684	3/24/92	Kresge et al. 42			277		
	ΑI	5,198,203	3/30/93	Kresge et al. 42			718		
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DOCUMENT PUBL.								TRANSL	ATION
		NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	YES	NO
	AK	WO 96/03359		WIPO				/	
	AL	WO 00/78677		WIPO					~
	AM	WO 02/42207		WIPO				<b>V</b>	
	AN								
	AO								
		OTHER DISCL	OSURES (Inc	luding Autho	or, Title, Date, Pertinent Pag	ges of Publi	cation, Etc.)		
J.S. Beck, et al.: "A New Family of Mesoporous Molecular Sieves Prepared With Liquid Crystal Templates", AP J. Amer. Chem. Soc., 1992, vol. 114, p. 10834-843.							plates",		
	AQ	N.Y. Chen, et al.: "TMA-Offretite. Relationship Between Structural and Catalytic Properties", J. Catalysis,							
EXAMINER		<u> </u>		<u> </u>	DATE CONSIDERED				
*EXAMINER:		al if citation considered sidered. Include copy of			conformance with MPEP 609. t to applicant	Draw line th	ough citation if not	in conformar	nce and not
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				October 3, 2003		1764			
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	5,227,353	7/13/93	Apelian e	t al.	502	74		
	AB	5,264,641	11/23/93	Borghard	et al.	585	269		
	AC	5,573,657	11/12/96	Degnan e	t al.	208	144		
	AD	6,303,534	11/16/01	Strohmaie	er et al.	502	214		
,	AE	5,837,639	11/17/98	Kresge et al.		502	64		
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